

Employer confirmation guide

City & Guilds Construction (Level 3) – Plant Operations

Form A and Form B to be completed by the learner's employer.

Version	Date	Reason for change
1.0	Aug 2021	
1.1	Jun 2022	Generic minor updates, portfolio replaced with documented evidence.

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Introduction

Employers have an enhanced role in the delivery of the new apprenticeship suite of qualifications in the construction and building service engineering sector.

The employer confirmation provides guidance to employers and training providers on how the occupational competency statements set by the industry have been met. By completing the following evidence documents and all required methods of assessment, the learner is able to apply for and attain the relevant industry competency card.

The purpose of the employer confirmation is to provide centres and trade bodies with the assurance that the learner is occupationally proficient and has covered the full range of relevant standards. The collection of evidence allows the training provider to check that 'Form A Employer Confirmation' and 'Form B Employer Checklist' are both valid. Upon completion there will be an audit trail to support the decision which will then allow the learner to proceed to their final assessment, the professional discussion.

Employer role

- They will work with and support learners throughout their apprenticeship.
- Attend an initial meeting with the training provider to identify the range of tasks required to meet industry competency statements, work-based project and completion of 'Form A Employer Confirmation' and 'Form B Employer Checklist'.
- Provide opportunities for the learner to carry out the activities outlined within the occupational competency statements set by industry.
- Meet with training providers to review and record the learners progress throughout their apprenticeship.
- Support the learner in gathering evidence to confirm proficiency in the activities that they carried out.
- In limited circumstances simulated activities can be arranged on-site/in the workplace by the employer to gather evidence.
- Support the learner in documenting their evidence, eg. through a journal or diary.
- Confirm when the learner has met the required standard for the trade and is ready to progress to their final assessment (the professional discussion), confirmed by completing 'Form A Employer Confirmation' and 'Form B Employer Checklist'.
- Support the learner in applying for their relevant industry competency card.

Training provider role

- The training provider will work with employers to guide and support the learner throughout their journey.
- They will attend an initial meeting with the employer to identify the range of activities required to meet industry competency statements and the work-based project.
- Ensure the quality of 'Form A Employer Confirmation' and 'Form B Employer Checklist' which will allow the learner to progress onto their final assessment, the professional discussion.
- Ensure evidence gathered by all of their learners is appropriate and complete by carrying out and recording internal quality assurance sampling (confirmed by signing/dating section 3 of 'Form A Employer Confirmation').
- Support the employer and learner in documenting the evidence eg. a journal or diary, as referenced in the occupational competency statements.

- Will provide training and support to training providers and employers through webinars and information on the Skills for Wales website.
- Ensure training providers have suitable and robust quality and assessment processes in place through our quality assurance department.
- Certificate learners upon successful completion of all assessment components.

Requirements of evidence

Compiling the learner evidence should only start once the employer is satisfied the learner is consistently working at or above the criteria set out in the occupational competence statements. That is to say they are deemed to have achieved occupational proficiency. In making this decision, the employer may take advice from the learners training provider. The overall decision must ultimately be made by the employer(s).

- Learners must document their evidence during the on-programme period of the apprenticeship.
- It must contain enough evidence, gathered within the workplace, to demonstrate the occupational competency statements.
- It will typically contain 15 pieces of evidence, and could take the format of a journal or diary.
- Evidence must be mapped against the occupational competency statements.
- Evidence sources might include (this is not a definitive list):
 - workplace documentation, for example job cards/job sheets, check sheets/ quality check records, accident records, equipment check/maintenance records
 - annotated specifications, for example drawings, cutting lists, work instructions
 - annotated photographs
 - video clips (maximum duration 10 mins) supported by clear timestamps detailing when key pieces of evidence occur.
- Evidence should not include any methods of self-reflection or self-assessment.
- Any employer contributions should focus on direct observation (for example witness statements) of proficiency rather than opinions.
- The evidence must be authenticated by an employer and have been recently gathered. There should be enough evidence documented to show the site based performance statements have been met.
- The evidence provided must be valid and attributable to the learner; the documented evidence must be complete. The employer's signature on the confirmation document confirms this is the case.

Simulation

Where a learner is working towards the end project and has one or two gaps in evidence which delays progression to assessment, a simulated on-site only activity can be used to help meet the required range of evidence.

- May only be used where an employer is present and simulated evidence is acceptable – as indicated in the competency statement checklist, the unit(s) and assessment criteria where simulation is permitted are shown in bold italic.
- The evidence must be authentic and recently gathered. There should be enough evidence documented to show the occupational competency statements have been met.
- For additional information refer to the [Construction and the Built Environment – Plant Operations and Controlling Lifting Operations National Vocational Qualifications \(NVQs\) and Scottish Vocational Qualifications \(SVQs\)](#).

Form A Employer confirmation

This form is split into three sections and has been contextualised for each trade within the construction and building services engineering sectors. It is the responsibility of the employer to complete this form in collaboration with the training provider and learner.

Section 1

- Completed at the start on the learner journey following an initial meeting with the training provider.
- Identifies the range of activities required to meet the industry competency statements and work-based project.

Section 2

- Identifies the unit headings of the occupational competency statements that the learner will have to complete.
- The employer will tick and initial the occupational competency unit a learner achieves while under their guidance.
- Provision has been made to allow for more than one employer to complete this section. This is to allow provision when a learner is employed through the shared apprenticeship scheme and more than one employer becomes involved.
- When more than one employer is involved with the same learner, this section will be completed by the employer who confirms the final activity in Form B has been carried out.
- When simulation has been carried out with an employer present, and is acceptable for a unit(s), the occupationally competent assessor will complete this section to confirm the activities in Form B have been completed.

Section 3

- This section is to be completed where a change of employer has occurred.
- This section is completed by each of the employers involved in supporting and guiding the learner, as well as the training provider, internal quality assurer and learner.
- When simulation is acceptable the occupationally competent assessor will complete this section.
- Upon completion the learner can be entered for their final assessment (the professional discussion).

Form B Employer checklist

This form has been contextualised for each trade within the construction and building service engineering sectors. The occupational competency statement log highlights the occupational competency units that a learner will have to complete for their trade. It is the responsibility of the employer(s) to complete Form B in collaboration with the training provider and learner.

- Each unit consists of a title, activity and provision for the employer(s) to confirm the learner is proficient by placing a tick within the corresponding employer box.
- Each occupational competency statement unit has provision for up to four employers to provide confirmation that the learner is proficient within each criteria.
- When a learner has more than one employer, the employer who signs as employer one will continue as employer one when completing documentation. Additional employers will complete the corresponding sections for additional employers.
- Employers are making judgements that in their view the learner can complete the activity to a satisfactory industry standard and in a timely and safe manner.
- Different employers may confirm the learner is proficient on the same activity which is acceptable.
- When simulation is acceptable for a unit(s), the occupationally competent assessor will make a judgement that in their view the learner can complete the activity to a satisfactory industrial standard and in a timely manner.
- The learner will gather and retain evidence to demonstrate how they have achieved the activity criteria inline with the occupational competency statement.

Employer and Provider 8 Step Delivery Guide

This 8 step guide gives employers and providers an overview of the learner support that will be required for the delivery of the Level 3 apprenticeship suite of Construction and Building Services Engineering qualifications in Wales.



Step 1

Learner secures employment and starts their learning journey.



Step 2

Provider meets with employer to identify the range of tasks required to meet the industry competency statements and work based project.



Step 3

Develop and agree quality assurance checks for employer confirmation process.



Step 4

Periodic reviews of learners progress and quality checks of evidence from industry.



Step 5

Observation of practical industry project.



Step 6

Final review meeting with employer and learner. Employer completes confirmation documentation, learner completes their documented.



Step 7

Learner progresses to the final assessment. (Professional discussion.)



Step 8

Upon successful completion of all components City & Guilds will certificate and the learner can apply for industry competency card.

Form A Employer confirmation

Employer confirmation is confirming the learner is occupationally proficient and has met all the occupational competency statements and can now continue to their final assessment, the professional discussion.

- The sign off document is the responsibility of the employer with the support of the training provider.
- Quality assurance of the process will be the responsibility of the internal quality assurer.
- The awarding body will check the process as part of external quality assurance.

Section 1: Learner details

Learner name

Learner registration number

Qualification title and number

Centre name

Section 2: Occupational competency statements

Occupational competency statements met for	Yes	Employer initial
Conform to general workplace, health and safety and welfare (VR641)		
Conform to productive practices (VR642)		
Preparing ride on roller prior to operational performance (VR386)		
Preparing and operating ride on roller to compact in the workplace (VR389)		
Preparing telescopic handler prior to operational performance in the workplace (VR386)		
Preparing and operating telescopic handler to lift and transfer loads in the workplace (VR387)		
Prepare forward tipping dumper prior to operational performance (VR386)		
Preparing and operating forward tipping dumper to receive and transfer loads (VR391)		
Attitudes and behaviours		
Documented evidence complete		

Select either Option A or B**Option A**

Prepare 180 degree excavator prior to operational performance (VR386)

Preparing and operating 180 degree excavators to extract and excavate ground and loose materials in the workplace (VR388)

Option B

Prepare 360 degree excavator prior to operational performance (VR386)

Preparing and operating 360 degree excavators to extract and excavate ground and loose materials in the workplace (VR389)

Form A Continued

Upon completion the learner can now be entered for their final assessment, the professional discussion.

Section 3: Employers confirmation

Role	Company name	Employee name	Position in company	Signature (which may be typed)	Initial	Date
Learner						
Employer 1						
Employer 2						
Employer 3						
Employer 4						
Internal Quality Assurer						

Form B Employer checklist

Occupational competency statement checklist: Plant Operations

Learner Name

Conform to General Workplace Health, Safety & Welfare. (VR641)	Employer			
	E1	E2	E3	E4
Demonstrate personal behaviour which shows active responsibility for general workplace health, safety, and welfare in the context of complying with organisational policies and procedures:				
Consideration of others				
Interpretation of given instructions to maintain safe systems of work				
Contributing to discussions (offer and provide feedback)				
Maintain quality working practices				
Contributing to the maintenance of workplace welfare facilities				
Storage and use of equipment provided to keep people safe				
Disposal of waste and/or consumable items				
In accordance with organisational requirements with regards to:				
Dealing with accidents and emergencies associated with the type of work being undertaken and the work environment				
Methods of receiving or sourcing information				
Reporting				
Stopping work				
Evacuation				
Fire risks and safe exit procedures				
Consultation and feedback				

Form B Continued

Conform to Productive Work Practices (VR642)	Employer			
	E1	E2	E3	E4
The learner must be able to:				
Communicate with line management, colleagues or customers to ensure work is carried out productively				
Respect the needs of others when communicating				
Interpret procedures and use resources to plan the sequence of work, so that it is completed productively				
Complete documentation as required by the organisation				
Work productively with line management, colleagues, customers or other people				
Apply the principles of equality and diversity				

Move, Handle or Store Resources (VR643)	Employer			
	E1	E2	E3	E4
The learner must be able to:				
Use skills to move, position, store, secure and/or use lifting aids and kinetic lifting techniques				
Move, handle or store occupational resources to meet product information and organisational requirements relating to at least three of the following:				
Sheet material				
Loose material				
Bagged or wrapped material				
Fragile material				
Tools and equipment				
Components				
Liquids				

	Employer			
Preparing ride on roller prior to operational performance (VR386)	E1	E2	E3	E4
The Plant operator (ride on roller) must be able to:				
Demonstrate the work skills to prepare, setup, configure, start, manoeuvre and secure				
Use and maintain hand tools, ancillary equipment and/or accessories to:				
Prepare plant, machinery or equipment prior to operational performance to given working instructions relating to:				
Complete pre-use checks, prepare, set up and adjust for operational requirements				
Functional checks				
Operational performance				
Safety and security				
Check to avoid damage to structures and utilities service apparatus				

Form B Continued

Preparing and operating ride on roller to compact (VR389)	Employer			
	E1	E2	E3	E4
The Plant operator (ride on roller) must be able to:				
Demonstrate the work skills to prepare, check, adjust, communicate, operate, manoeuvre, run, support, park, stop and secure				
Use and maintain hand tools, ancillary equipment and/or accessories				
Prepare, configure and operate plant or machinery for non-operational activities (e.g. inspection, repair, maintenance, testing or travel) to given working instructions for:				
Ride on roller				
Operate plant or machinery to compact ground and loose materials to given working instructions relating to:				
Carry out pre-operational checks for obstructions, stability, safety and security of the work and surrounding area				
Carry out safe practices while reversing and positioning				
Profiles and surface finish produced to specification				
Mount and dismount correctly				
Travelled at the correct speed for the rolloing process				
Kept the correct distance from kerb edges and unsupported edges				
Carry out and complete compaction work				
Demonstrate work skills to shut down and secure plant or machinery				

	Employer			
Prepare telescopic handler prior to operational performance (VR386)	E1	E2	E3	E4
The Plant operator (telescopic handler) must be able to:				
Demonstrate the work skills to fit, attach, set up, adjust, secure, check, confirm and remove				
Use and maintain hand tools, ancillary equipment and/or accessories to: prepare plant, machinery or equipment prior to operational performance to given working instructions relating to:				
Complete pre-use checks, prepare, set up and adjust for operational requirements				
Functional checks				
Operational performance				
Safety and security				
Check to avoid damage to structures and utilities services apparatus				

Preparing and operating telescopic handler to lift and transfer loads in the workplace (VR387)	Employer			
	E1	E2	E3	E4
The Plant operator (telescopic handler) must be able to:				
Demonstrate the work skills to prepare, check, adjust, communicate, operate, manoeuvre, position, lift, transfer and set down				
Use and maintain hand tools, ancillary equipment and/or accessories				
Prepare, configure and operate plant or machinery for non-operational activities (e.g. inspection, repair, maintenance, testing or travel) to given working instructions for:				
Hand-operated power tools				
Telescopic handler				
Operate plant or machinery to lift and transfer loads to given working instructions to:				
Carry out pre-operational checks for obstructions, stability, safety and security of the work and surrounding area				
Carry out performance checks for lifting and transferring loads				
Drive appropriate to ground conditions				
Identify characteristics, type, weight, position of loads for lifting and transferring				
Secure and balance loads for lifting				
Lift, remove and transfer loads				
Position, place and set down loads				
Confirm load stability, security and release				
Use appropriate communication method as required				
Maintaining stability and keeping within safe working parameters				
Demonstrate work skills to shut down and secure plant or machinery				

Prepare plant or machinery (forward tipping dumper) prior to operational performance (VR386)	Employer			
	E1	E2	E3	E4
The Plant operator (forward tipping dumper) must be able to:				
Demonstrate the work skills to fit, attach, set up, adjust, secure, check, confirm and remove				
Use and maintain hand tools, ancillary equipment and/or accessories to: prepare plant, machinery or equipment prior to operational performance to given working instructions relating to:				
Complete pre-use checks, prepare, set up and adjust for operational requirements				
Functional checks				
Operational performance				
Safety and security				
Check to avoid damage to structures and utilities and service apparatus				

Preparing and operating forward tipping dumper to receive and transfer loads (VR391)	Employer			
	E1	E2	E3	E4
The Plant operator (forward tipping dumper) must be able to:				
Demonstrate the work skills to prepare, start, stop, check, adjust, communicate, manoeuvre, position, receive, transport and deposit				
Use and maintain hand tools, ancillary equipment and/or accessories				
Demonstrate work skills to operate plant or machinery to:				
Carry out pre-operational checks for obstructions, stability, safety and security of the work and surrounding area				
Carry out performance checks to receive and transport loads				
Identify characteristics, type and volume of loads to receive, transport and discharge				
Receive, secure and balance loads for transport and discharge				
Position the dumper correctly when loading				
Constantly fully lower the body before moving away from the tipping point				
Maintain full observation when carrying out travelling and reversing manoeuvres				
Use appropriate edge protection prior to tipping				
Receive and transport bulk material loads to given working instructions relating to the forward tipping dumper				
Use appropriate communication method as appropriate				
Demonstrate work skills to shut down and secure plant or machinery				

Form B Continued

Candidate will select one of the two following options.

A) Preparing and operating plant or machinery to excavate (180-degree excavator) units VR386/VR388 above 10 tonne

B) Preparing and operating plant or machinery to excavate (360 degree excavator) units VR386/VR389 above 10 tonne

Prepare plant or machinery (180 degree Excavator) prior to operational performance (VR386)	Employer			
	E1	E2	E3	E4
The Plant operator (180 degree Excavator) must be able to:				
Demonstrate the work skills to fit, attach, set up, adjust, secure, check, confirm and remove				
Use and maintain hand tools, ancillary equipment and/or accessories to:				
Prepare plant, machinery or equipment prior to operational performance to given working instructions relating to:				
Complete pre-use checks				
Prepare, set up and adjust for operational requirements, safety and security				
Functional checks				
Operational performance				
Safety and security				

Preparing and operating 180 degree excavators to extract and excavate ground and loose materials in the workplace (VR388)	Employer			
	E1	E2	E3	E4
The Plant operator (180 degree excavator) must be able to:				
Demonstrate the work skills to prepare, start, stop, check, adjust, communicate, manoeuvre, position, receive, transport and deposit				
Use and maintain hand tools, ancillary equipment and/or accessories				
Demonstrate work skills to operate plant or machinery to:				
Carry out pre-operational checks for obstructions, stability, safety and security of the work and surrounding area				
Carry out performance checks for the extraction and excavation operation				
Identify characteristics, weight, quantity, length and area to extract and excavate				
Position the excavator correctly for extracting, loading and excavating				
Maintain full observation when carrying out out extraction and excavation manoeuvres				
Reinstate, grade and level the ground following excavation				
Lift and set down loads at given locations according to work instructions				
Use appropriate communication method as appropriate				
Demonstrate work skills to shut down and secure plant or machinery				

Form B Continued

Candidate will select one of the two following options.

- A) Preparing and operating plant or machinery to excavate (180-degree excavator) units VR386/VR388 above 10 tonne
- B) Preparing and operating plant or machinery to excavate (360 degree excavator) units VR386/VR389 above 10 tonne

Prepare plant or machinery (360 degree Excavator) prior to operational performance (VR386)	Employer			
	E1	E2	E3	E4
The Plant operator (360 degree Excavator) must be able to:				
Demonstrate the work skills to fit, attach, set up, adjust, secure, check, confirm and remove				
Use and maintain hand tools, ancillary equipment and/or accessories to: Prepare plant, machinery or equipment prior to operational performance to given working instructions relating to:				
Complete pre-use checks				
Prepare, set up and adjust for operational requirements, safety and security				
Functional checks				
Operational performance				
Safety and security				

Preparing and operating 360 degree excavator to extract and excavate ground and loose materials in the workplace (VR389)	Employer			
	E1	E2	E3	E4
The Plant operator (360 degree excavator) must be able to:				
Demonstrate the work skills to prepare, start, stop, check, adjust, communicate, manoeuvre, position, receive, extract and excavate				
Use and maintain hand tools, ancillary equipment and/or accessories				
Demonstrate work skills to operate plant or machinery to:				
Carry out pre-operational checks for obstructions, stability, safety and security of the work and surrounding area				
Carry out performance checks for the extraction and excavation operation				
Identify characteristics, weight, quantity, length and area to extract and excavate				
Position the excavator correctly for extracting, loading and excavating				
Maintain full observation when carrying out extraction and excavation manoeuvres				
Reinstate, grade and level the ground following excavation				
Lift and set down loads at given locations according to work instructions				
Use appropriate communication method as appropriate				
Demonstrate work skills to shut down and secure plant or machinery				

Form B Continued

Attitudes and behaviours	Employer			
	E1	E2	E3	E4
The learner in their day to day execution of their role, demonstrated the following Personal Based Attitudes and Behaviours:				
Apply professional judgement and support				
Assertive and challenge unsafe behaviours/activities				
Confident and demonstrate innovation through the identification of areas for improvement and suggestion and/or development of innovative solutions				
Identify and manage risks to self and others				
Keep work area clean and tidy				
Punctual and manage own time effectively				
Think logically and accordance with the demands of the situation using clear and valid reasoning when making decisions to undertake the work instructions				
Reliable and work effectively individually and in a team				
Work in accordance with quality requirements				
Work productively and be respectful (in terms of equality, diversity and inclusion)				
Dressed appropriately and work safely and ensure safety of others				
Work within own level of competence and know when to seek advice from others				

Employer	Company name	Employee name	Employee initial	Date
Employer 1				
Employer 2				
Employer 3				
Employer 4				

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About City & Guilds | EAL

City & Guilds and EAL are two awarding bodies who have come together to collaborate on the development of a suite of construction and building services engineering qualifications for Wales.

We bring over 140 years' experience of developing qualifications and assessments in the construction and built environment sector. City & Guilds and EAL have always shared a great relationship, so this really is a proven partnership that is truly focused on supporting the sector to meet the opportunity that the future holds.

We strongly believe in empowering people with opportunities for the future, and our aims of this new suite of qualifications are to help people get into a job, get on in the job and go further.

Every effort has been made to ensure that the information contained in this publication is true and correct at the time of going to press. However, City & Guilds/EAL's products and services are subject to continuous development and improvement and the right is reserved to change products and services from time to time. City & Guilds/EAL cannot accept liability for loss or damage arising from the use of information in this publication.

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